

1197.0226

ASG

**PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:**

In Re Application of: Shinji Aki et al.

Serial No.: 09/869,264

Group Art Unit: Not Yet Assigned

Filed: June 27, 2001

Examiner: Not Yet Assigned

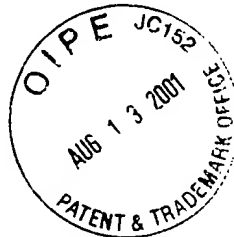
For: Process for Producing Carbostyryl Derivatives

- 
1. Preliminary Amendment
  2. Appendix to Amendment of August 13, 2001

Dated August 13, 2001

Docket No.: 1197-226

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AUG 13 2001

(Due Date: None)

Oct 8/14/01 JS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Shinji Aki et al. ) Group Art Unit: Not Yet Assigned  
)  
Application No.: 09/869,264 ) Examiner: Not Yet Assigned  
)  
Filed: June 27, 2001 )  
)  
For: Process for Producing Carbostyryl )  
Derivatives )  
)

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**PRELIMINARY AMENDMENT**

Prior to the examination of the above application, please amend this application  
as follows:

**IN THE SPECIFICATION:**

Page 3, replace the paragraph beginning on line 2 with the following new  
paragraph:

According to the above-mentioned known process, the yield of the compound of  
general formula (I) is as low as about 50 to 74%, because there is also formed a  
compound in which the tetrazole derivative of general formula (III') has reacted not only  
with the hydroxyl group of the carbostyryl derivative of general formula (II) but also with  
the 1-position of the carbostyryl derivative of general formula (I) simultaneously. Since  
the thus formed contaminative impurity is difficult to remove, production of a compound  
of general formula (I) having a high purity has required a complicated process of  
purification.